



PRESENTING ALEX. BROWN

With a powerful partnership comes strengthened support and exceptional opportunity

ALEX. BROWN
A DIVISION OF **RAYMOND JAMES**

Exceptional service, 200 years in the making

As the nation's first investment bank, we are a longstanding pioneer in financial service excellence. With our strong corporate legacy, we offer clients trusted service, a vast array of products and capabilities, and access to global investment opportunities.

Our values and expertise integrate seamlessly behind your objectives. We are driven by an independent approach that is backed by credible research and insight. With our client-first ethics, we understand that your needs are paramount and must take precedence in our work.

We see your success and trust in us as a direct reflection of our value as a firm. As proud as we are of our rich heritage, it's the financial future of our clients that drives us forward.

OUR VALUED CLIENTS

We work with our clients to address the complex needs of managing substantial wealth, from portfolio management to wealth planning to monetization strategies – and everything in between.

Our diverse clientele includes high- and ultra-high-net-worth individuals, executives, money managers, family offices, foundations and endowments.



A breadth of capabilities to support you

We're driven to fulfill the financial needs of exceptionally successful individuals and institutions.

Clients can expect tailored, comprehensive strategies and timely advice. With access to an array of quality investment products, we provide disciplined, sophisticated services to ensure your needs are supported, and your goals advanced.

TAILORED INVESTMENT STRATEGIES

We gain a thorough understanding of our clients' needs and long-term goals before designing comprehensive, tailored investment strategies, utilizing exclusive and quality products to help fulfill your objectives. Your financial objectives are an integral part of your total mission, which is why we fully tap into our capabilities and global access to deliver custom strategies that can be integrated seamlessly into your plans for the future.

SPECIALTY ACCESS

We provide our clients with exclusive access to specialty Deutsche Bank products, as well as a vast breadth of opportunity and bespoke service – all a significant part of our centuries-long legacy. We leverage our extensive research capabilities and work across market sectors around the globe to identify premium products and proprietary opportunities to fortify your portfolio. With a keen eye for innovation, we generate timely investment ideas across the public and private markets, in order to help fulfill the most unique and complex of financial needs. For qualified clients*, our Key Client Partners service provides customized strategies, leveraging our extensive resources and capabilities to generate distinct investment opportunities and financing solutions on a non-advisory basis.

*institutional investors only, as defined by FINRA 4512(c)3

OUR SERVICE OFFERINGS INCLUDE:

- Alternative investments
- Institutional consulting
- Institutional equity and fixed income sales
- Key Client Partners capabilities
- Corporate and executive services
- Portfolio management
- Risk management
- Estate and legacy planning
- Philanthropy and planned giving
- Retirement planning
- Sustainable investing
- Intergenerational planning and education

We strive to deliver institutional-quality investment opportunity and comprehensive wealth management, providing opportunity for the entrepreneur, efficiency for the fiduciary and an impressive breadth of capabilities for the discerning investor – with effective execution for all.

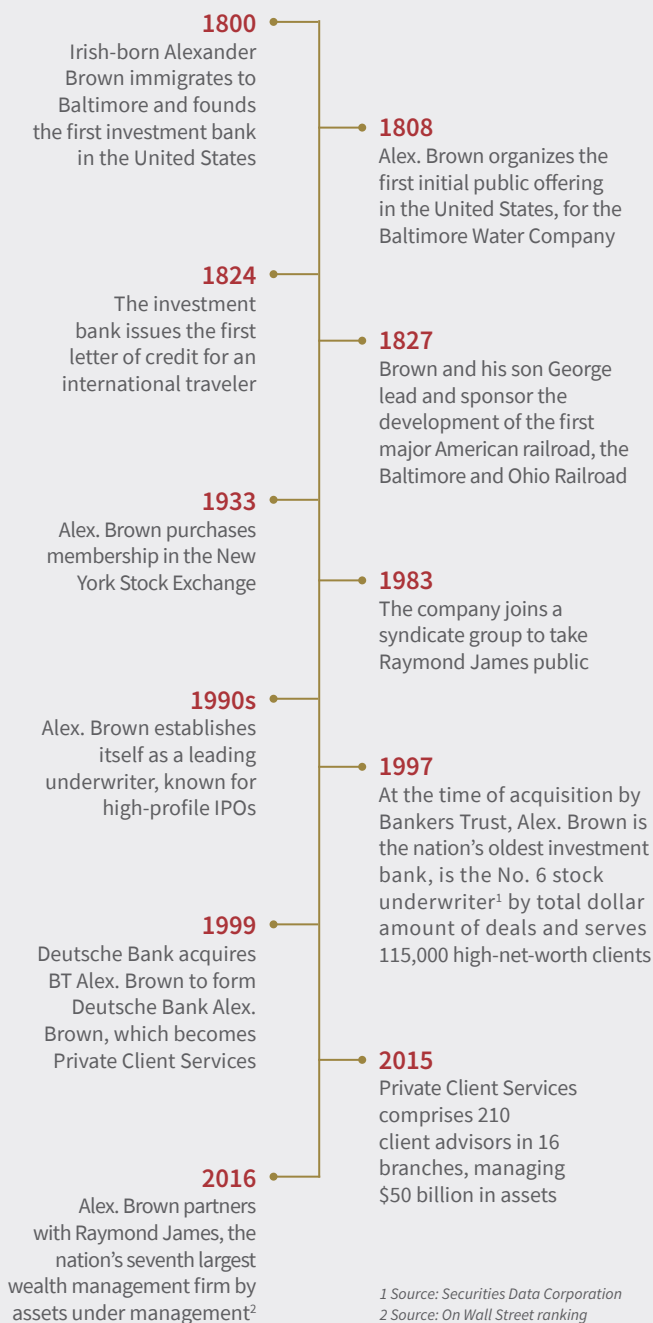


A powerful partnership

From its inception, the partnership between Alex. Brown and Raymond James has been about offering clients the best of both firms - marrying the heritage and ingenuity of a highly regarded boutique with the far-reaching capabilities and unparalleled support of one of the largest wealth management firms in the United States. Together, we provide individuals and institutions with personalized strategies to help meet even the most complex financial needs.

Alex. Brown

A HERITAGE OF TRUSTED EXPERTISE,
SPANNING TWO CENTURIES



¹ Source: Securities Data Corporation

² Source: On Wall Street ranking



INTERNATIONAL HEADQUARTERS: THE RAYMOND JAMES FINANCIAL CENTER
880 CARILLON PARKWAY // ST. PETERSBURG, FL 33716 // RAYMONDJAMES.COM

DIVISION HEADQUARTERS: ALEX. BROWN, A DIVISION OF RAYMOND JAMES
350 PARK AVENUE // NEW YORK, NY 10022 // ALEXBROWN.COM